

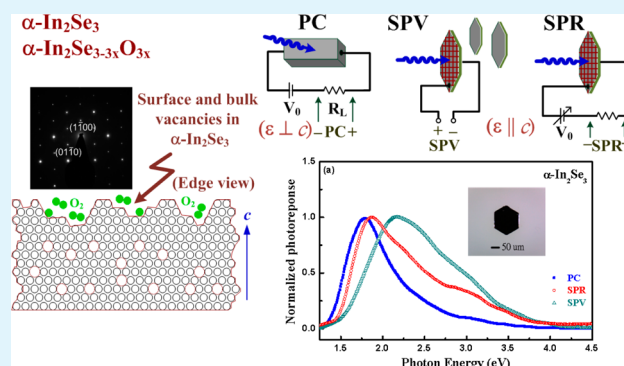
# Surface Oxide Effect on Optical Sensing and Photoelectric Conversion of $\alpha$ - $\text{In}_2\text{Se}_3$ Hexagonal Microplates

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**ABSTRACT:** The surface formation oxide assists of visible to ultraviolet photoelectric conversion in  $\alpha$ - $\text{In}_2\text{Se}_3$  hexagonal microplates has been explored. Hexagonal  $\alpha$ - $\text{In}_2\text{Se}_3$  microplates with the sizes of 10s to 100s of micrometers were synthesized and prepared by the chemical vapor transport method using  $\text{ICl}_3$  as a transport agent. Many vacancies and surface imperfection states have been found in the bulk and on the surface of the microplate because of the intrinsic defect nature of  $\alpha$ - $\text{In}_2\text{Se}_3$ . To discover physical and chemical properties and finding technological uses of  $\alpha$ - $\text{In}_2\text{Se}_3$ , several experiments including transmission electron microscopy (TEM), X-ray photoelectron spectroscopy (XPS), surface photovoltage (SPV), photoluminescence (PL), surface photoresponse (SPR), photoconductivity (PC), and thermoreflectance (TR) measurements have been carried out. Experimental results of TEM, XPS, SPV, PL, and SPR measurements show that a surface oxidation layer  $\alpha$ - $\text{In}_2\text{Se}_{3-3x}\text{O}_{3x}$  ( $0 \leq x \leq 1$ ) has formed on the crystal face of  $\alpha$ - $\text{In}_2\text{Se}_3$  in environmental air with the inner layer content close to  $\text{In}_2\text{Se}_3$  but the outermost layer content approaching  $\text{In}_2\text{O}_3$ . The near band edge transitions of  $\alpha$ - $\text{In}_2\text{Se}_3$  microplates have been probed experimentally by TR and PC measurements. The direct band gap of  $\alpha$ - $\text{In}_2\text{Se}_3$  has been determined to be 1.453 eV. The SPV result shows a maximum quantum efficiency of the surface oxide  $\alpha$ - $\text{In}_2\text{Se}_{3-3x}\text{O}_{3x}$  ( $0 \leq x \leq 1$ ) that presents a peak photoresponse near 2.18 eV. The analyses of SPV, SPR, PL, TR, and PC measurements revealed that the surface oxide layer facilitates the conversion of the ultraviolet to the visible range while the native defects (Se and In vacancies) sustain photoconductivity in the near-infrared region. On the basis of the experimental results a wide-energy-range photodetector that combines PC- and SPR-mode operations for  $\alpha$ - $\text{In}_2\text{Se}_3$  microplate has been made. The testing results show a well-behaved function of photoelectric conversion in the near-infrared to ultraviolet region via the auxiliary forming of surface oxide on the crystalline face of the  $\alpha$ - $\text{In}_2\text{Se}_3$  microplates.

**KEYWORDS:** III–VI compound,  $\text{In}_2\text{Se}_3$ , surface oxidation, photoelectric conversion, surface photovoltage, photodetector



## INTRODUCTION

Indium selenide ( $\alpha$ - $\text{In}_2\text{Se}_3$ ) is one of the most important narrow-gap materials suitable for solar energy conversion and optoelectronics applications. The potential uses of indium selenide are as solar-cell material,<sup>1,2</sup> field-effect transistor,<sup>3,4</sup> phase-changed memory,<sup>5,6</sup> logic device,<sup>7</sup> anisotropic photoconductivity device,<sup>8,9</sup> visible-light photodetector,<sup>10,11</sup> and lithium ion batteries.<sup>12</sup> Owing to the mismatch of valence electron numbers (i.e.,  $\text{In}^{\text{III}}$  and  $\text{Se}^{\text{VI}}$ ), In–Se compounds often show a variety of valency-changed structures with different stoichiometry and crystalline phases. The generally found stoichiometries for indium selenides are  $\text{InSe}$ ,<sup>13</sup>  $\text{In}_6\text{Se}_7$ ,<sup>14</sup> and  $\text{In}_2\text{Se}_3$ .<sup>15–17</sup> These selenides possess miscellaneous crystal structures, dissimilar band diagrams as well as different band gaps. Even for the  $\text{In}_2\text{Se}_3$  compound, it is interesting that the alloy possesses at least four different crystalline phases denoted as  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$ , and  $\kappa$  found in the literature.<sup>18–21</sup> However, the most popular and well studied modifications of  $\text{In}_2\text{Se}_3$  include  $\alpha$ ,  $\beta$ , and  $\gamma$  phases. The crystalline form of these phases is a

layered structure with different arrangement of In and Se atoms connected in one monolayer along the  $c$  axis.<sup>16</sup> The main difference of the connection chain consists of Se–In–Se–In–Se tetrahedral configuration or Se–In–Se=In=Se tetra-octahedral configuration, where “–” and “=” are the chemical bonds in tetrahedral and octahedral coordinations.<sup>17</sup> The reported values of lattice constants for the layered  $\text{In}_2\text{Se}_3$  are  $a = 4.025 \text{ \AA}$  and  $c = 19.235 \text{ \AA}$  for  $\alpha$ - $\text{In}_2\text{Se}_3$ ,  $a = 4.000 \text{ \AA}$  and  $c = 28.33 \text{ \AA}$  for  $\beta$ - $\text{In}_2\text{Se}_3$ , and  $a = 7.1286 \text{ \AA}$  and  $c = 19.382 \text{ \AA}$  for  $\gamma$ - $\text{In}_2\text{Se}_3$ , respectively.<sup>18</sup> The main discrepancy between the  $\alpha$  and  $\beta$  phases is the dissimilarity in  $c$  axis while the major difference between the  $\alpha$  and  $\gamma$  phases is the length change of  $a$  axis.

$\alpha$ - $\text{In}_2\text{Se}_3$  is the most stable phase of indium selenide with hexagonal layer structure stacking in the Se–In–Se=In=Se tetra-octahedral configuration. The calculated band gap of  $\alpha$ -

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$\text{In}_2\text{Se}_3$  is around 1.48 eV.<sup>17</sup> Because of the misvalency between III and VI atoms, a natural defect-like phase of  $\square_{1-III_2-VI_3}$  is usually found in the unit cell of general  $\alpha\text{-In}_2\text{Se}_3$  layers. The “ $\square$ ” is a vacant site (vacancy) of Se or In atom. Owing to the  $\text{In}_2\text{Se}_3$  originally showing n-type semiconducting behavior,<sup>5</sup> the indium selenide is inferred to have stronger Se-vacancy effect (donor) in building the unit cell. The Se vacancies may dominate the band edge and transport property of the layer compound. By the existence of dangling bonds of the Se vacancies, a surface oxidation layer can easily form on the material interface of  $\alpha\text{-In}_2\text{Se}_3$  under ambient air. This situation is similar to its chalcogenide counterpart  $\text{In}_2\text{S}_3$  for which there exists one  $\text{In}_2\text{S}_{3-3x}\text{O}_{3x}$  layer on its crystal surface.<sup>22,23</sup> Indium chalcogenides are generally the solar energy materials with lower band gap (e.g.,  $E_g < 1.5$  eV for  $\alpha\text{-In}_2\text{Se}_3$  and  $E_g = 1.935$  eV for  $\text{In}_2\text{S}_3$ ).<sup>24</sup> The existing  $\text{In}_2\text{S}_{3-3x}\text{O}_{3x}$  oxide layer on the surface of  $\text{In}_2\text{S}_3$  can assist photoelectric conversion of sunlight ranging from 2 eV to the energies larger than 3.2 eV.<sup>25</sup> The  $\alpha\text{-In}_2\text{Se}_3$  is even better for energy conversion because its direct band gap is close to the optimal value of 1 eV as compared with that of  $\text{In}_2\text{S}_3$ . The surface oxide layer on  $\text{In}_2\text{Se}_3$  may also contribute to the photoelectric conversion in the higher energy region. However, to date, all the researches are focused on studying the intrinsic  $\text{In}_2\text{Se}_3$  property and very rarely on studying the surface formation oxide (e.g.,  $\text{In}_2\text{Se}_{3-3x}\text{O}_{3x}$  from environment) which can improve photoelectric conversion properties of the indium selenide.

In this paper, surface oxide and bulk characteristics of  $\alpha\text{-In}_2\text{Se}_3$  microplates have been evaluated by transmission electron microscopy (TEM), X-ray diffraction, X-ray photoelectron spectroscopy (XPS), surface photovoltage (SPV), photoluminescence (PL), surface photoresponse (SPR), photoconductivity (PC), and thermoreflectance (TR) measurements. The direct band gap of  $\alpha\text{-In}_2\text{Se}_3$  was determined to be  $\sim 1.453$  eV by TR. The experimental analyses of X-ray diffraction, XPS, SPV, SPR, PL, and PC show that the lower-gap  $\alpha\text{-In}_2\text{Se}_3$  has a superior photoresponse near short-wavelength region because of the formation of stoichiometric surface oxide  $\alpha\text{-In}_2\text{Se}_{3-3x}\text{O}_{3x}$  on the outer layer of the  $\alpha\text{-In}_2\text{Se}_3$  microplates. The PL spectra show that the outermost layer of the surface oxide ( $\alpha\text{-In}_2\text{Se}_{3-3x}\text{O}_{3x}$ ) is approximately close to that of  $\text{In}_2\text{O}_3$ , which emits blue to ultraviolet luminescence. Spectral photoelectric-conversion efficiency of  $\alpha\text{-In}_2\text{Se}_3$  also shows an increase from 2 to 4 eV (evident by SPV) because of the existence of surface oxide on the  $\alpha\text{-In}_2\text{Se}_3$ . PC and SPR measurements show that the bulk  $\alpha\text{-In}_2\text{Se}_3$  dominates an absorption range of near-infrared to visible while the surface oxide  $\alpha\text{-In}_2\text{Se}_{3-3x}\text{O}_{3x}$  handles photoelectric conversion from the visible to the ultraviolet portion. The sunlight spectrum of near-infrared, visible, and ultraviolet can be efficiently absorbed to achieve photoelectric conversion in the surface oxide assisted  $\alpha\text{-In}_2\text{Se}_3$  hexagonal microplates.

## ■ EXPERIMENTAL SECTION

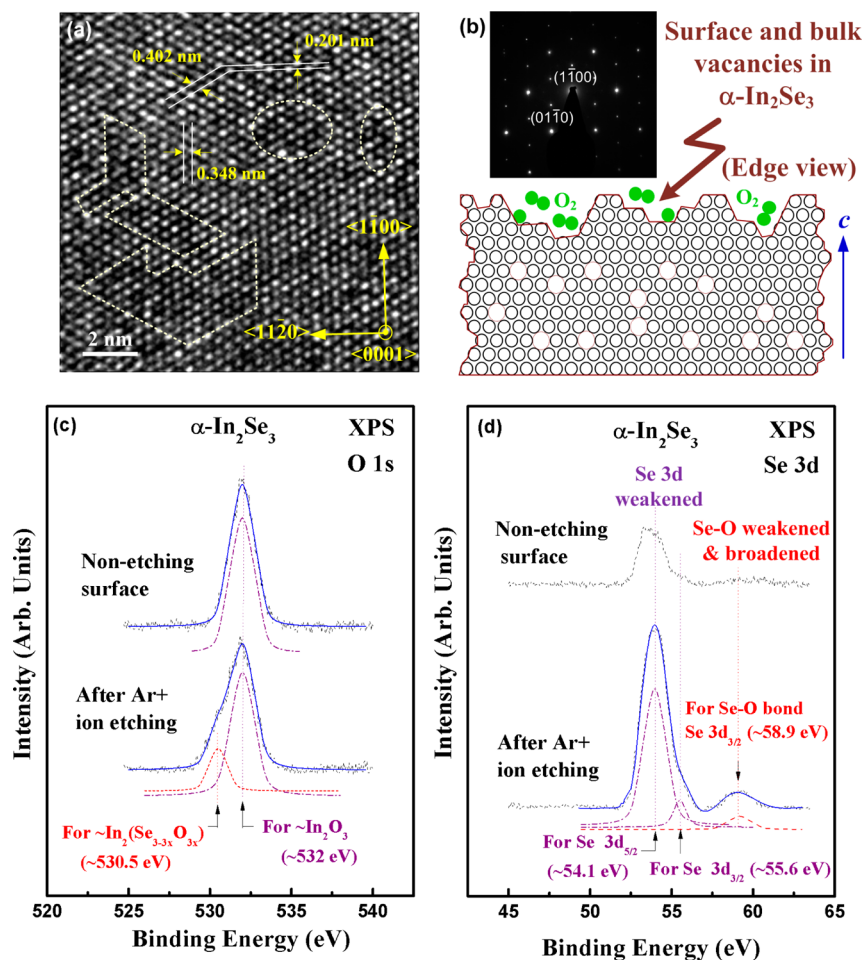
**Preparation of  $\alpha\text{-In}_2\text{Se}_3$  Microplates.** The microcrystals of  $\alpha\text{-In}_2\text{Se}_3$  with various sizes of tens to thousands  $\mu\text{m}^2$  were grown by the chemical vapor transport method using  $\text{ICl}_3$  as a transport agent. Prior to the crystal growth, the pure elements of In (purity 99.9999%) and Se (purity 99.999%) with stoichiometry together with a small amount of transport agent ( $\sim 5$  mg/cm<sup>3</sup>) were put into a quartz ampule (outer diameter 22 mm, inner diameter 17 mm, and length 20 cm), which was then cooled with liquid nitrogen, evaluated to  $\sim 10^{-6}$  Torr and sealed. The mixture was slowly heated to 800 °C and kept at this temperature for two days for synthesis. The slow heating process is

necessary for preventing explosions in the ampule because of the strongly exothermic reaction between the elements. For crystal growth of the micro plates, the growth temperature was set as 950 °C (heating zone)  $\rightarrow$  800 °C (growth zone) with a gradient of  $-7.5$  °C/cm. The reaction was kept for 10 days. After the growth, synthetic  $\text{In}_2\text{Se}_3$  micro plates have a hexagonal shape. The size of the areas are from ten to 100s  $\mu\text{m}^2$  and some of them are higher up to several mm<sup>2</sup>. The as-grown microplates show a black and shiny surface. The thickness of the microplates ranges from 10s to 100s  $\mu\text{m}$ . The weak van der Waals bonding between the layers means that the microplate can be easily separated to thin out from the  $c$  plane by using a razor blade or a Scotch tape. The results of X-ray diffraction and TEM confirm an  $\alpha$  crystalline phase of the as-grown  $\text{In}_2\text{Se}_3$  microplates. The lattice constants of the as-grown  $\alpha\text{-In}_2\text{Se}_3$  are determined to be  $a = 4.018$  Å and  $c = 19.228$  Å, respectively.

**Characterization.** XPS measurements were implemented by a Scientific K-Alpha system with a monochromatic Al K $\alpha$  line source. The X-ray spot size in the system can be adjusted to 15–400  $\mu\text{m}$  and the energy resolution can reach  $\sim 0.02$  eV. The experiments were done on the as-grown  $c$  plane of the chalcogenide in an analysis chamber with an ultimate vacuum reaching  $10^{-9}$  mbar. An argon ion source facilitates the dry etching process of the sample.

TR experiments of the  $\alpha\text{-In}_2\text{Se}_3$  layers were implemented using an indirect heating method with a gold-evaporated quartz plate as the heating element.<sup>26,27</sup> The thin layered sample was closely attached on the heating element by silicone grease. The on-off heating disturbance uniformly modulates the  $\alpha\text{-In}_2\text{Se}_3$  microplate periodically. The incident and reflected lights can be guided by a silica fiber. An 150 W tungsten halogen lamp (or an 150 W xenon-arc lamp) filtered by a PTI 0.2-m monochromator provided the monochromatic light. The incident light is focused onto the sample with a spot size less than 100  $\mu\text{m}^2$ . An EG&G type HUV-2000B Si photodetector acted as the detection unit, and the TR signal was measured and recorded via an EG&G model 7265 lock-in amplifier. PL experiments were carried out using a QE65000 spectrometer. A closed-cycle cryogenic refrigerator with a thermometer controller facilitates the low-temperature measurements for PL and TR measurements.

The SPV, SPR, and PC experiments are the optical techniques that do not need any optical sensor. The photodetector is the sample itself. The measurement configurations of the SPV, SPR, and PC techniques can be referred to Figure 3b. For SPV and SPR measurements, a thin  $\alpha\text{-In}_2\text{Se}_3$  microplate is attached on a copper sample holder by silver paste, the holder acts as the bottom electrode of the measurements. The top surface of small area sample can be micropatterned and coated with one golden or copper mesh on the sample plane. This is the top electrode of SPV and SPR measurements. The incident monochromatic light source of SPV and SPR is similar to TR. For SPV measurements, the photoexcited electron-hole pairs from the surface band-bending region were extracted out from the top and bottom electrodes of the capacitor-like configuration, and then sent to a low-noise amplifier. The incident light was chopped at 20 Hz, and the photoelectric conversion response (from the low-noise amplifier) of  $\alpha\text{-In}_2\text{Se}_3$  was recorded via an EG&G model 7265 lock-in amplifier. For SPR measurements, an electric field was applied perpendicular to the  $c$  plane of  $\alpha\text{-In}_2\text{Se}_3$  between the top and the bottom electrodes. It is similar to the electric-field operation of a thin-film solar cell. A load resistor connected in series with the sample was used for sensing the photocurrent under the light's illumination. A DC voltage was supplied to the circuit. For PC measurements, the  $\alpha\text{-In}_2\text{Se}_3$  sample was cut into a rectangular shape with the indium coated two ends acting as the ohmic contact electrodes. The main difference between SPR and traditional PC measurements is the direction that the electric field is applied to the sample. The SPR is normal to the  $c$  plane ( $\text{ell}c$ -axis) while PC is the in-plane photoconductivity of the  $c$  plane ( $\text{e}\perp c$ -axis) of the  $\alpha\text{-In}_2\text{Se}_3$  microplates. Specially, SPV and SPR measurements are sensitive for the detection of the photoelectric conversion response from the surface oxide existing on the  $\alpha\text{-In}_2\text{Se}_3$  microplate. All the measured PC, SPV, and SPR spectral photoresponses are calibrated using a broadband thermal sensor with a measurement range from 1.25 to 5 eV.



**Figure 1.** (a) HRTEM image of the  $c$  plane of  $\alpha$ - $\text{In}_2\text{Se}_3$  after  $\text{Ar}^+$  ion etching. Some enclosed regions show lack of top layer atoms. (b) The selected-area electron diffraction pattern of the  $c$ -plane  $\alpha$ - $\text{In}_2\text{Se}_3$ . The representative scheme for surface and bulk vacancies in  $\alpha$ - $\text{In}_2\text{Se}_3$  (edge view) is also shown. (c) The XPS spectra of oxygen 1s orbital with nonetching face and etched surface for  $\alpha$ - $\text{In}_2\text{Se}_3$ . (d) Se 3d state for a nonetching and a surface-etched  $\alpha$ - $\text{In}_2\text{Se}_3$ .

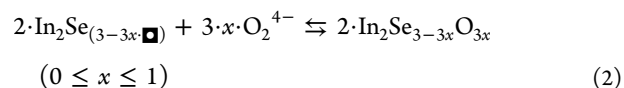
## RESULTS AND DISCUSSION

Figure 1a shows the high-resolution transmission electron microscopy (HRTEM) image of the  $c$ -plane  $\alpha$ - $\text{In}_2\text{Se}_3$  microplate after  $\text{Ar}^+$  ion etching for 30 s. The selected-area electron diffraction pattern shown in the upper part of Figure 1b verifies that the TEM image in Figure 1a is a  $c$ -plane  $\alpha$ - $\text{In}_2\text{Se}_3$ . Because of the defect nature by misvalency of III and VI ( $\text{In}_2\text{Se}_3$ ), the Se vacancies can react (replace) with environmental oxygen to form a surface oxidation state on the crystal face of indium selenide. This situation can be discovered from the surface-etched  $\text{In}_2\text{Se}_3$  in Figure 1a for many enclosed regions are missing with the top-layer atoms (i.e., marked with dashed-lines areas) on the  $c$  plane. According to the HRTEM image shown in Figure 1a, the lattice constant of  $a$ -axis for  $\text{In}_2\text{Se}_3$  can be determined to be  $\sim 0.402$  nm, which matches well with the  $\alpha$ -phase indium selenide.<sup>1,28</sup> The representative scheme for showing the vacant-sites structure of  $\alpha$ - $\text{In}_2\text{Se}_3$  microplate near surface and into bulk (edge view) is depicted in the lower part of Figure 1b. When the as-grown  $\alpha$ - $\text{In}_2\text{Se}_3$  microplate was put in air, the surface and bulk vacancies of  $\text{In}_2\text{Se}_3$  may have the possibility to react with ambient oxygen, specially on the surface. The surface reaction resembles that of gas sensing in materials by first adsorption with  $\text{O}_2$ . The interaction with atmospheric oxygen can produce ionosorption of molecular  $\text{O}_2^{4-}$  and atomic ( $\text{O}^{2-}$ ,  $\text{O}_2$ ) species at reaction temperatures of

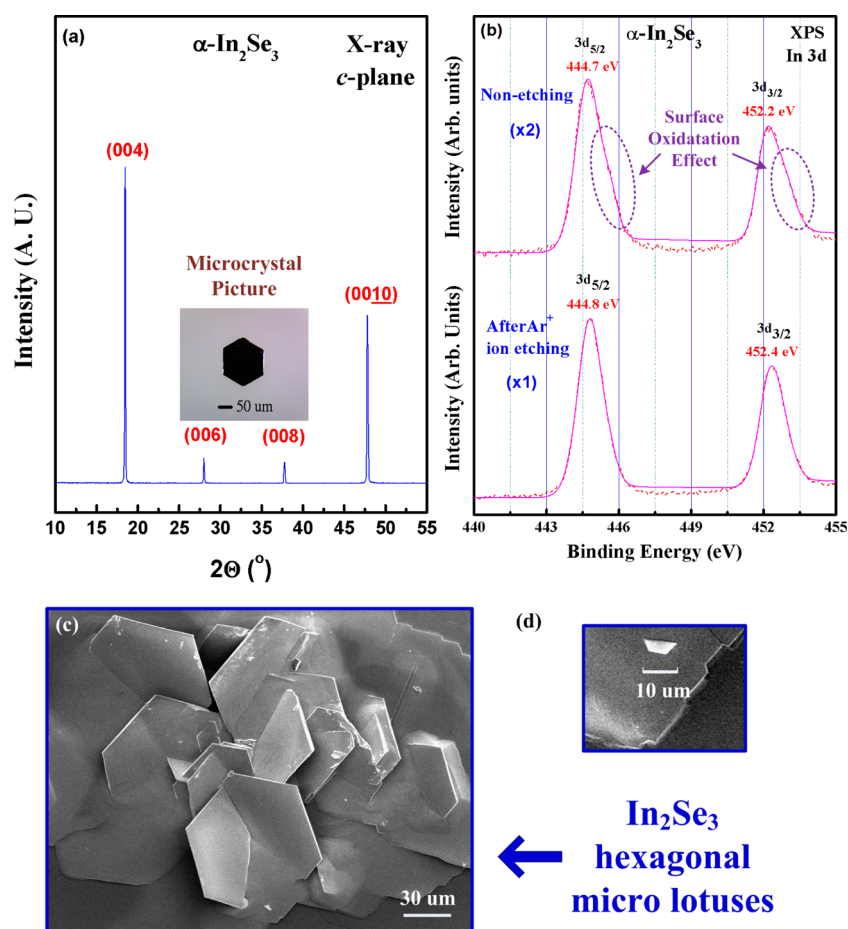
$25$ – $500$  °C.<sup>29</sup> At room temperature, nearly all of the adsorbed atmospheric oxygen by vacancies of  $\text{In}_2\text{Se}_3$  will be  $\text{O}_2^{4-}$ . The reaction kinetics for the oxygen adsorption and charge transfer can be described as follows:



However, the charge transfer and chemical reaction between the occupants  $\text{O}_2^{4-}$  (that stay in the Se–Se vacancies) and the cation  $\text{In}^{3+}$  ions by taking into account the stoichiometric change from inner layer to outermost layer will become:



,where the oxygen content is changed from  $x = 0$  (the inner layer  $\sim \text{In}_2\text{Se}_3$ ) to  $x = 1$  (the outermost layer  $\sim \text{In}_2\text{O}_3$ ), and “ $\blacksquare$ ” is the selenium vacancy in the unit cell of  $\alpha$ - $\text{In}_2\text{Se}_3$ . To verify the result of forming the surface oxide on the  $\alpha$ - $\text{In}_2\text{Se}_3$ , XPS measurements were carried out at 300 K. Figure 1c shows the XPS spectra of the oxygen 1s orbital (dashed lines) for a nonetching face of as-grown  $\alpha$ - $\text{In}_2\text{Se}_3$  as well as for the  $c$  plane of indium selenide after  $\text{Ar}^+$  ion etching for 30 s. The spectral



**Figure 2.** (a) *c*-Plane X-ray diffraction pattern of  $\alpha$ - $\text{In}_2\text{Se}_3$  crystal with higher order peaks. A microcrystal image for the  $\alpha$ - $\text{In}_2\text{Se}_3$  hexagonal microplate is also shown in the inset. (b) The In 3d XPS spectra of a nonetching and a surface-etched  $\alpha$ - $\text{In}_2\text{Se}_3$ . (c) The as-grown  $\alpha$ - $\text{In}_2\text{Se}_3$  hexagonal microplates crowding together to form a beautiful cluster as “hexagonal micro lotuses”. (d) The minimum length of a hexagonal microplate is about 10  $\mu\text{m}$ .

analysis using a Lorentzian-function fit reveals that only one XPS peak located at  $\sim 532$  eV can be observed for the nonetching surface of  $\alpha$ - $\text{In}_2\text{Se}_3$ , and the energy position matches well with the O 1s orbital of  $\text{In}_2\text{O}_3$  (i.e., 532.1 eV).<sup>30</sup> For the surface-etched  $\alpha$ - $\text{In}_2\text{Se}_3$  shown in the lower part of Figure 1c, an additional peak is detected at  $\sim 530.5$  eV which corresponds to the energy position coming from  $\text{In}(\text{OX})$ .<sup>31</sup> The oxygen deficient  $\text{In}(\text{OX})$  signal may come from the surface oxidation state  $\text{In}_2\text{Se}_{3-3x}\text{O}_{3x}$ . The surface-etched sample in Figure 1c also shows a weakened XPS peak of O 1s related to that of indium oxide centered at  $\sim 532$  eV. To further verify that the  $\text{In}_2\text{Se}_{3-3x}\text{O}_{3x}$  layer was formed in between the  $\text{In}_2\text{Se}_3$  and the outermost  $\text{In}_2\text{O}_3$  layer, XPS measurements of Se 3d orbital for a surface-etched and a nonetching  $\alpha$ - $\text{In}_2\text{Se}_3$  microplate are respectively carried out. The results are shown in Figure 1d. Two XPS peaks at  $\sim 54.1$  eV and  $\sim 55.6$  eV which correspond to the Se  $3d_{5/2}$  and Se  $3d_{3/2}$  electrons for the  $\alpha$ - $\text{In}_2\text{Se}_3$  microplate are clearly detected in the surface-etched sample.<sup>32</sup> Specially, one additional peak at  $\sim 58.9$  eV appears [see the lower part of Figure 1d]. This electronic state may be attributed to the Se  $3d_{3/2}$  orbital caused by the Se–O bond. The energy position of 58.9 eV is similar to a bonding energy of 58.6 eV for the Se–O bond detected in  $\text{SeO}_2$ .<sup>33</sup> For the nonetching surface of  $\alpha$ - $\text{In}_2\text{Se}_3$  [see the upper part of Figure 1d], the Se 3d peaks show weakening, and the Se–O bond signal is also weakened and broadened owing to the relatively very low Se content

existing on the surface of the  $\alpha$ - $\text{In}_2\text{Se}_3$  microplate. The XPS results in Figures 1c and 1d verify the formation of surface oxide layer  $\text{In}_2\text{Se}_{3-3x}\text{O}_{3x}$  ( $0 \leq x \leq 1$ ) on the crystal plane of  $\alpha$ - $\text{In}_2\text{Se}_3$  with lower oxygen content constructing the inner part but higher oxygen content forming the outermost layer.

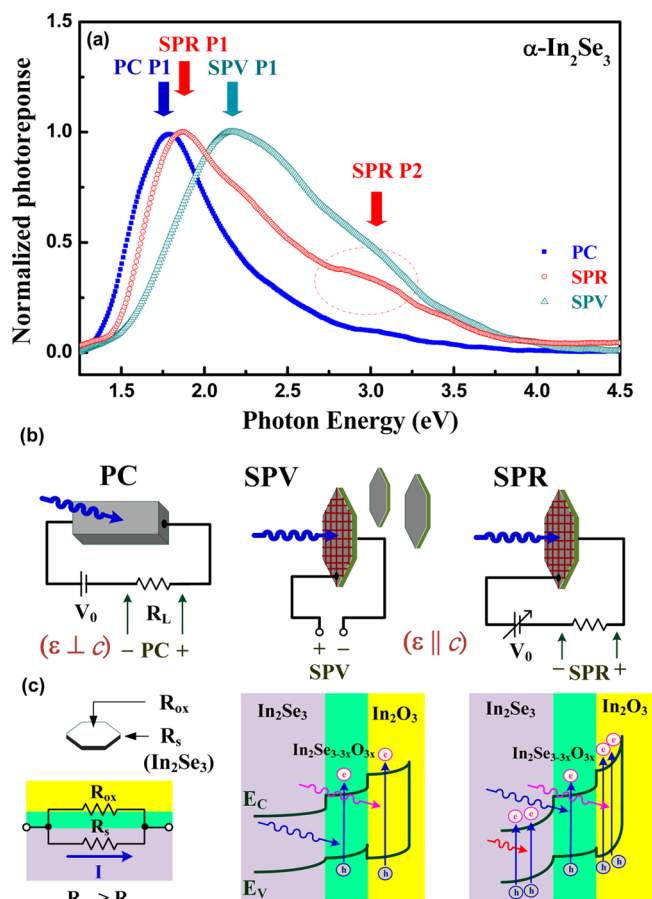
Displayed in the inset of Figure 2a is the microplate picture of  $\alpha$ - $\text{In}_2\text{Se}_3$  of hexagonal shape with a width of  $\sim 100$   $\mu\text{m}$ . The  $\alpha$ -phase diindium triselenide is a hexagonal layer-type structure with weak van der Waals bonding existing in between the monolayers (i.e., stacking along *c*-axis). The pronounced outline of the microplate is a distinctly hexagonal *c* plane. As shown in Figure 2a, the single crystal X-ray diffraction pattern displays only one *c*-plane peak and its higher-order interplanar spacings for the  $\alpha$ - $\text{In}_2\text{Se}_3$ . The peak pattern provides the information about lattice constant *c*, which was determined to be  $c \approx 19.228$  Å. Figure 2b shows a doublet of XPS peak structures of In 3d for a surface-etched and a nonetching  $\alpha$ - $\text{In}_2\text{Se}_3$  microplate. The fitted bonding energies of In  $3d_{5/2}$  and In  $3d_{3/2}$  for the surface-etched  $\alpha$ - $\text{In}_2\text{Se}_3$  are determined to be  $\sim 444.8$  eV and  $\sim 452.4$  eV, respectively. The energy values are close to previous XPS results detected by  $\text{In}_2\text{Se}_3$ .<sup>31</sup> For the nonetching  $\alpha$ - $\text{In}_2\text{Se}_3$  [see the upper part of Figure 2b], the peak features of In  $3d_{5/2}$  and In  $3d_{3/2}$  show a broadened line-width and a weakened intensity owing to the stronger surface oxidation effect on the microplate. The nonetching  $\alpha$ - $\text{In}_2\text{Se}_3$  also shows slight red shift of binding energies of In  $3d_{5/2}$

( $\sim 444.7$  eV) and In  $3d_{3/2}$  ( $\sim 452.2$  eV) with respect to those of the surface-etched  $\alpha$ -In<sub>2</sub>Se<sub>3</sub> shown in Figure 2b. All the XPS results in Figures 1 and 2 confirm that a surface oxidation layer may form on the crystal face of the  $\alpha$ -In<sub>2</sub>Se<sub>3</sub> microplate. This phenomenon is maybe a general characteristic for an indium chalcogenide with a defect nature.<sup>22</sup> When growing the  $\alpha$ -In<sub>2</sub>Se<sub>3</sub> hexagonal microplates using chemical vapor transport, the growth kinetics of chemical vapor transport with the assistance of a transport agent can be described as follows:



,where A is the synthesized material, B is the transport agent, and C is the gaseous synthesized compound (A, C = In<sub>2</sub>Se<sub>3</sub> and B = ICl<sub>3</sub>). The formation of  $\alpha$ -In<sub>2</sub>Se<sub>3</sub> microplates must proceed by the reversed reaction of eq 3 by growing the microplate crystals at the cool end of the quartz ampule with temperature profile setting as 950  $\rightarrow$  800  $^{\circ}$ C (i.e., gradient  $-7.5$   $^{\circ}$ C/cm). The easily forming face of  $\alpha$ -In<sub>2</sub>Se<sub>3</sub> can be the *c* plane. It means that the slowest growth rate of the hexagonal  $\alpha$ -In<sub>2</sub>Se<sub>3</sub> is along *c* axis, and an approximately equal-fast growth rate will occur on the individual edge plane of the hex-octahedron to appear in a nearly identical edge length. With this rule, the  $\alpha$ -In<sub>2</sub>Se<sub>3</sub> microcrystal can easily form a perfect hexagon microplate as shown in the inset of Figure 2a. The scanning electron microscopy (SEM) image of Figure 2c displays different sizes of hexagonal microplates which can crowd together to form some well-defined hexagonal micro lotuses for the  $\alpha$ -In<sub>2</sub>Se<sub>3</sub>. The minimum size (length) of the  $\alpha$ -In<sub>2</sub>Se<sub>3</sub> hexagonal microplate is about 10  $\mu$ m which can be evident in the SEM picture of Figure 2d. A hexagonal microplate with a length size larger than 100  $\mu$ m is used for optical studies. The shape is similar to the microplate shown in the inset of Figure 2a.

Figure 3a shows the normalized SPV, SPR, and PC spectra of the  $\alpha$ -In<sub>2</sub>Se<sub>3</sub> hexagonal microplate by using a tungsten halogen lamp in the energy range of 1.25 to 4.5 eV. The photoresponsivities of SPV, SPR, and PC are normalized to maximum peak intensities of each spectrum for comparing the energy position of each peak photoresponse. The maximum peak photoresponses of the spectra are marked with SPV P1, SPR P1, and PC P1, respectively. As shown in Figure 3a, the energy position of PC P1 is about 1.77 eV, which is lower than that of  $\sim 1.89$  eV for SPR P1 and that of  $\sim 2.18$  eV for SPV P1. Figures 3b and 3c show, respectively, the measurement arrangements and band configurations of PC, SPV, and SPR experiments. For the PC measurement, the sample was cut into a rectangular shape with an electric field  $\epsilon$  applied in the  $\epsilon \perp c$  direction. The PC photoresponse was derived from a load resistor  $R_L$ . The values of applied voltage  $V_0$  and load resistor  $R_L$  are 25 V and 10 M $\Omega$ , respectively. The  $\alpha$ -In<sub>2</sub>Se<sub>3</sub> hexagonal microplate has generally a surface oxide forming on the bulk crystal plane. Because In<sub>2</sub>O<sub>3</sub> has a larger band gap ( $>3.2$  eV)<sup>33,34</sup> than In<sub>2</sub>Se<sub>3</sub> ( $<1.5$  eV), the In<sub>2</sub>Se<sub>3-3x</sub>O<sub>3x</sub> ( $0 \leq x \leq 1$ ) oxide forming on the  $\alpha$ -In<sub>2</sub>Se<sub>3</sub> can be approximately regarded as two parallel resistors [ $R_{ox}$  and  $R_s$  as indicated in Figure 3c] with a lower value of  $R_s$  (for In<sub>2</sub>Se<sub>3</sub>) and higher resistance of  $R_{ox}$  (for surface oxide) because of their different band gaps. The measured photoresponses of PC may be inferred to mainly come from the bulk  $\alpha$ -In<sub>2</sub>Se<sub>3</sub>, not from the surface oxide with larger band gap. This is why the energy position of PC P1 in Figure 3a is lower than those of SPR P1 and SPV P1 because the contribution of PC is largely from the lower band gap of  $\alpha$ -In<sub>2</sub>Se<sub>3</sub>. For SPV and SPR measurements, the surface electric field  $\epsilon$  is parallel to the *c*-axis ( $\epsilon \parallel c$ ). The top electrode (i.e.,



**Figure 3.** (a) Normalized PC, SPV, and SPR photoresponse spectra of  $\alpha$ -In<sub>2</sub>Se<sub>3</sub> at room temperature. (b) Measurement arrangements of PC, SPV, and SPR experiments. (c) The equivalent circuit and heterojunction band-bending diagrams for the  $\alpha$ -In<sub>2</sub>Se<sub>3</sub> hexagonal microplate under PC, SPV, and SPR mode operations.

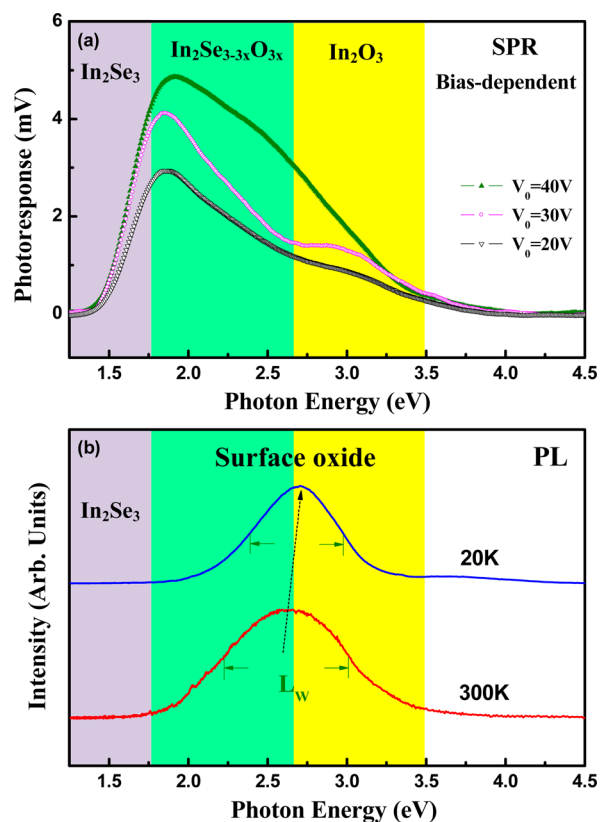
copper mesh) contacts the crystal surface of the  $\alpha$ -In<sub>2</sub>Se<sub>3</sub> microplate while the bottom electrode is a copper holder. It is expected to have high photoelectric-conversion responses coming from the surface oxide with SPV and SPR measurements. As shown in the normalized SPR and SPV spectra of Figure 3a, the surface oxide contributes higher photoelectric conversion responses than that of the PC spectrum, and their energy positions (SPR P1 and SPV P1) are larger than that of PC P1. Specially, the SPV photoresponse spectrum demonstrates the real photoelectric conversion responses via the assistance of the surface band-bending effect just like a junction solar cell. The representative band diagram for the heterojunction layers of In<sub>2</sub>O<sub>3</sub>, In<sub>2</sub>Se<sub>3-3x</sub>O<sub>3x</sub>, and In<sub>2</sub>Se<sub>3</sub> for the SPV configuration is depicted in the middle part of Figure 3c. Because the energy position of SPV P1 is higher than those of SPR P1 and PC P1, the photoelectric conversion response of the SPV mode is hence inferred to come mostly from In<sub>2</sub>Se<sub>3-3x</sub>O<sub>3x</sub> and In<sub>2</sub>O<sub>3</sub> but less from the  $\alpha$ -In<sub>2</sub>Se<sub>3</sub>. The quantum efficiency of the  $\alpha$ -In<sub>2</sub>Se<sub>3</sub> hexagonal microplate with the SPV mode operation can be evaluated from the measured photoresponse described as

$$\eta = R \cdot (h\nu / q) \quad (4)$$

,where  $\eta$  is quantum efficiency,  $R$  represents the measured photoresponsiveness,  $h\nu$  refers to photon energy (in eV), and  $q$  denotes the electron charge. It also means that quantum

efficiency is the ratio of numbers for generated charge carrier to that of incident photon at a certain wavelength. As shown in Figure 3a, the peak photoresponse of the SPV spectrum is around 2.18 eV. The real (i.e., un-normalized) photoresponse of SPV at 2.18 eV is  $R = 2.01 \times 10^{-2}$  A/W. The value of the photoresponse leads a calculated quantum efficiency of  $\eta \approx 4.36\%$  near the peak response close to 2.18 eV using eq 4. The peak energy value approaches the green portion near the maximum responsivity of sunlight on earth. The SPV photoresponse in Figure 3a covers largely from the visible to the ultraviolet region in contrast to that of the PC spectrum which dominates mainly in the near-infrared region. This result confirms that the surface oxide  $\text{In}_2\text{Se}_{3-3x}\text{O}_{3x}$  strongly assisted photoelectric conversion in the  $c$ -plane  $\alpha$ - $\text{In}_2\text{Se}_3$  hexagonal microplate with SPV mode operation such as the band-bending diagram shown in the middle part of Figure 3c. In comparison with SPV, the SPR measurement needs the application of an external voltage  $V_0$  in series with a load resistor  $R_L$  similar to PC. Nevertheless, an extra peak near 3.0 eV (denoted as SPR P2) appears in the SPR spectrum of Figure 3a. The energy position of SPR P1 also is lower than that of SPV P1 and close to that of PC P1. It means that the built-in electric fields for the three layers of  $\text{In}_2\text{Se}_3$ ,  $\text{In}_2\text{Se}_{3-3x}\text{O}_{3x}$  and  $\text{In}_2\text{O}_3$  by SPR can be properly adjusted by changing  $V_0$  to accommodate different photoresponse contributions from each of the three layers such as the band-bending diagram shown in the right side of Figure 3c. The photoresponse of the SPR P2 peak around 3 eV is inferred to come from the outermost layer of  $\sim\text{In}_2\text{O}_3$ .

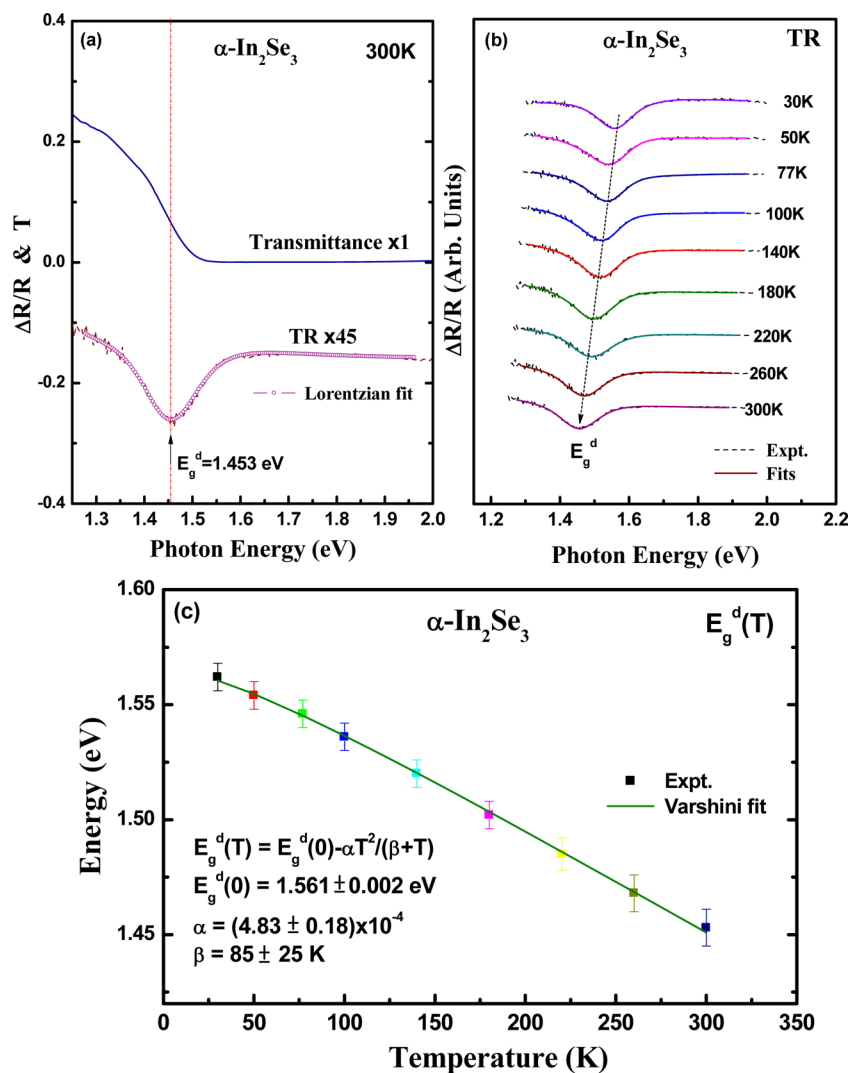
To evaluate the different band-bending effects for the heterojunction layers of  $\text{In}_2\text{Se}_3$ - $\text{In}_2\text{Se}_{3-3x}\text{O}_{3x}$ - $\text{In}_2\text{O}_3$  [see Figure 3c], bias-dependent SPR measurements were carried out at room temperature. Figure 4a shows the bias-dependent SPR spectra of  $\alpha$ - $\text{In}_2\text{Se}_3$  with  $V_0 = 20$  V (open-triangle line),  $V_0 = 30$  V (open-circle line), and  $V_0 = 40$  V (solid-triangle line), respectively. For the lower bias condition ( $V_0 = 20$  V), the SPR P1 and SPR P2 peaks in the SPR spectrum of  $\alpha$ - $\text{In}_2\text{Se}_3$  are smaller and are connected smoothly. The photoresponse contribution in this bias range mainly comes from both  $\text{In}_2\text{Se}_3$  and  $\text{In}_2\text{Se}_{3-3x}\text{O}_{3x}$  layers. Whereas when the bias increases to  $V_0 = 30$  V, a clear feature near 3.0 eV is obviously detected. It is the SPR P2 peak contributed by the outermost layer of  $\text{In}_2\text{O}_3$ . With increased bias to  $V_0 = 40$  V, both SPR P1 and SPR P2 and that of the connection spectrum from 1.8 to 3.2 eV are simultaneously enhanced. The photoresponsivities contributed from the  $\text{In}_2\text{Se}_{3-3x}\text{O}_{3x}$  ( $0 \leq x \leq 1$ ) layers show a dramatic increase. The surface oxide assisted photoelectric conversion in the different energy range from near-infrared to ultraviolet is controllable by a different surface band bending effect evident in Figure 4a. The surface oxide  $\text{In}_2\text{Se}_{3-3x}\text{O}_{3x}$  ( $0 \leq x \leq 1$ ) plays a key role for optical-electric conversion of the  $c$ -plane  $\alpha$ - $\text{In}_2\text{Se}_3$ . To further verify the existence of the surface oxide layer on the crystal plane of  $\alpha$ - $\text{In}_2\text{Se}_3$ , PL measurements at 20 and 300 K are respectively carried out. Displayed in Figure 4b are the PL spectra of the  $c$ -plane  $\alpha$ - $\text{In}_2\text{Se}_3$ . It is clearly that only one broadened peak centered at  $\sim 2.63$  eV at 300 K is found. The emission is contributed by the  $\text{In}_2\text{Se}_{3-3x}\text{O}_{3x}$  ( $0 \leq x \leq 1$ ) surface oxide. When the temperature lowered down to 20 K, the PL peak shows an energy increase and a line-width ( $L_w$ ) narrowing effect such as the general semiconductor behavior. The energy of the PL peak at 20 K is about 2.72 eV. The PL results of Figure 4b sustain that the adsorption of oxygen by Se vacancies in  $\alpha$ - $\text{In}_2\text{Se}_3$  will form an oxidation layer  $\text{In}_2\text{Se}_{3-3x}\text{O}_{3x}$  ( $0 \leq x \leq$



**Figure 4.** (a) Bias dependent SPR spectra of  $\alpha$ - $\text{In}_2\text{Se}_3$  for showing different photoresponsivities from the oxide layers on the  $\text{In}_2\text{Se}_3$ - $\text{In}_2\text{Se}_{3-3x}\text{O}_{3x}$ - $\text{In}_2\text{O}_3$  heterojunction structure. (b) The PL spectra of  $\alpha$ - $\text{In}_2\text{Se}_3$  at 20 and 300 K showing that the PL emission peaks are coming from the surface oxide layer.

1). Because the formation of surface oxide layer, the PL signal from the inner  $\alpha$ - $\text{In}_2\text{Se}_3$  bulk cannot strongly emit out.

Figure 5a displays the room-temperature TR and transmittance spectra of the  $c$ -plane  $\alpha$ - $\text{In}_2\text{Se}_3$  in the energy range of 1.25 to 2 eV. The dashed line is the experimental TR spectrum and the open-circle line is the least-squares fit to a derivative Lorentzian line-shape function expressed as  $\Delta R/R = \text{Re}[\sum A \cdot e^{j\varphi} (E - E_g^d + j\Gamma)^{-m}]$ ,<sup>35</sup> where  $A$  and  $\varphi$  are the amplitude and phase of the line shape, and  $E_g^d$  and  $\Gamma$  are the energy and broadening parameters of the interband transition. The value of  $m = 0.5$  is used for the first derivative line shape analysis of the critical-point transition of the direct band gap for  $\alpha$ - $\text{In}_2\text{Se}_3$ .<sup>35</sup> The obtained direct band gap of  $\alpha$ - $\text{In}_2\text{Se}_3$  (indicated by arrow) is  $E_g^d = 1.453$  eV at 300 K. The value of  $E_g^d$  is approximately in accordance with the center location of the transmittance spectrum for matching the absorption edge of  $\alpha$ - $\text{In}_2\text{Se}_3$  as indicated in Figure 5a. It lends clear evidence that the  $\alpha$ - $\text{In}_2\text{Se}_3$  hexagonal microplate is a direct semiconductor with its gap value in the near-infrared region. To further verify the  $E_g^d$  transition is responsible for the direct band gap of  $\alpha$ - $\text{In}_2\text{Se}_3$ , temperature-dependent TR measurements were carried out in the temperature range between 30 and 300 K. Figure 5b shows the temperature-dependent TR spectra of  $\alpha$ - $\text{In}_2\text{Se}_3$  (dashed lines) in the energy range of 1.25–2 eV. The solid lines are those of the Lorentzian line-shape fits. The obtained energies of  $E_g^d$  show an energy-reduction behavior with the increase of temperatures from 30 to 300 K such as the general semiconductor behavior. The temperature dependence of transition energies of  $E_g^d$  from 30 to 300 K with representative

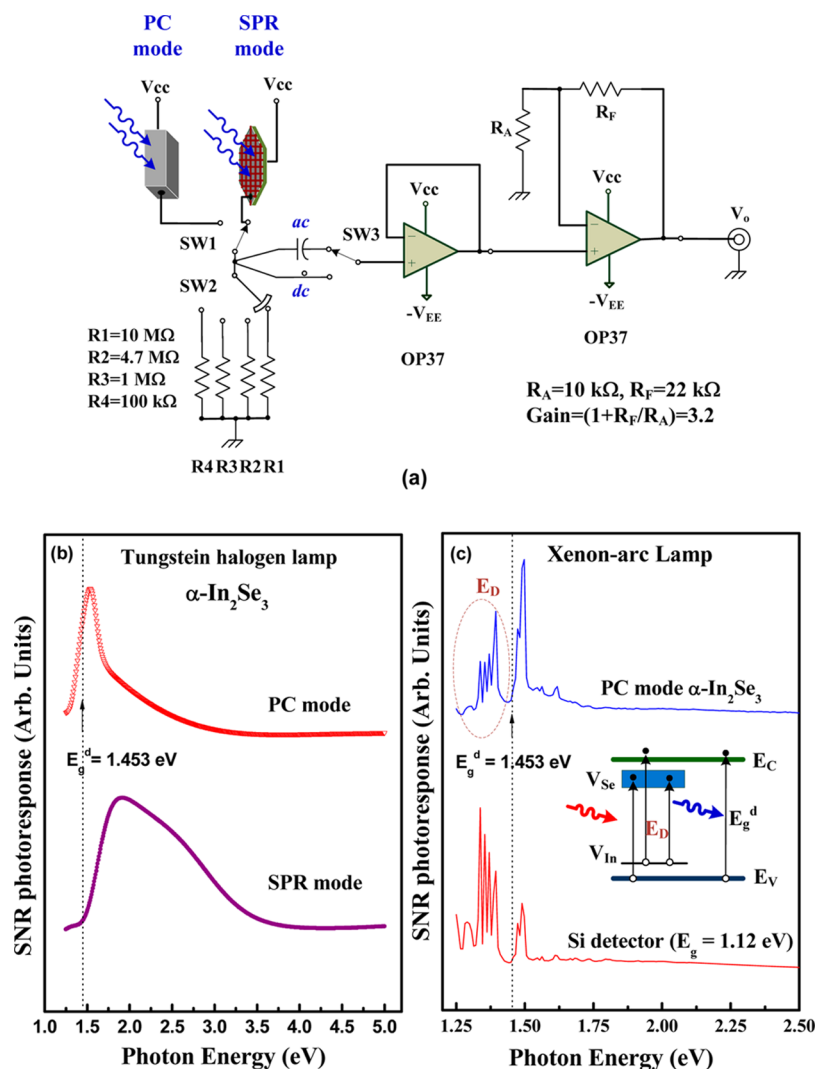


**Figure 5.** (a) Transmittance and TR spectra of  $\alpha\text{-In}_2\text{Se}_3$  for the identification of direct band gap. (b) Temperature-dependent TR spectra of  $\alpha\text{-In}_2\text{Se}_3$  from 30 to 300 K. (c) Temperature dependence of direct band gaps of  $\alpha\text{-In}_2\text{Se}_3$  between 30 and 300 K. The solid line is the least-squares fit of the experimental data using the Varshni equation.

error bar is depicted in Figure 5c. The solid line is the least-squares fit of the experimental data using the Varshni equation  $E_g^d(T) = E_g^d(0) - \alpha T^2 / (\beta + T)$ , where  $E_g^d(0)$  is the energy at 0 K, and  $\alpha$  and  $\beta$  are constants referred to as the Varshni coefficients. The constant  $\alpha$  is related to the electron–phonon interaction, and  $\beta$  is closely related to the Debye temperature. The obtained values of fitting parameters from Figure 5c are  $E_g^d(0) = 1.561 \pm 0.002$  eV,  $\alpha = (4.83 \pm 0.18) \times 10^{-4}$  eV/K, and  $\beta = 85 \pm 25$  K, respectively. The obtained relationship of the temperature-dependent direct band gaps of  $\alpha\text{-In}_2\text{Se}_3$  can be utilized for  $\alpha\text{-In}_2\text{Se}_3$  when the material operated under sunlight above room temperature.

As shown in Figure 3a, the PC mode operation of the  $\alpha\text{-In}_2\text{Se}_3$  hexagonal microplate dominates the near-infrared to visible region while the SPR mode operation handles the detection in the visible to ultraviolet range. Specially, the bias-dependent SPR mode can properly adjust the detection wavelength region coming from the surface oxide layer  $\alpha\text{-In}_2\text{Se}_{3-3x}\text{O}_{3x}$  ( $0 \leq x \leq 1$ ) as evident in Figure 4a. Based on the experimental understanding of physical and chemical properties of  $\alpha\text{-In}_2\text{Se}_3$ , a wide-energy-range and high-sensitivity photodetector that combines the PC and SPR mode operations for  $\alpha\text{-In}_2\text{Se}_3$

has been proposed and fabricated. A representative circuit scheme of the photodetector is depicted in Figure 6a. A switch SW1 selects the PC or SPR mode operation, and four resistors (R1–R4) set different bias conditions for the  $\alpha\text{-In}_2\text{Se}_3$  microplate. A buffer and an amplifier designated as the OP 37 operational amplifier are used for sensing photoelectric conversion signals from the  $\alpha\text{-In}_2\text{Se}_3$  microplates. Figure 6b demonstrates the testing functional performance of the PC and SPR mode photodetector made by  $\alpha\text{-In}_2\text{Se}_3$ . The light source is a tungsten halogen lamp dispersed by a monochromator. The PC mode operation shows a high signal-to-noise ratio (SNR) response with a peak photosensitivity near 1.5 eV while when it selects the SPR mode, a well-behaved SNR photoresponse ranging from  $\sim 1.5$  to  $\sim 3.8$  eV can be detected. The results show that a wide energy range photodetector with detection ability from near-infrared to ultraviolet can be fabricated by using only one material (i.e.,  $\alpha\text{-In}_2\text{Se}_3$ ) with different mode operations. Besides, as shown in the PC mode spectrum of Figure 6b, the optical absorption below the direct band gap ( $< 1.453$  eV) still shows higher photoresponse. It lends evidence that a defect-related optical absorption still occurred inside the  $\alpha\text{-In}_2\text{Se}_3$  material. This result facilitates the extension of the



**Figure 6.** (a) Circuit design of PC and SPR mode photodetector for  $\alpha\text{-In}_2\text{Se}_3$ . (b) The testing signal-to-noise ratio responses for PC mode and SPR mode operations in the energy range of 1.25–5 eV using a tungsten halogen lamp. (c) The PC mode photodetection of sharp line features in  $\alpha\text{-In}_2\text{Se}_3$  using xenon-arc lamp. The tested detector response for a commercialized Si photodiode is also included for comparison.

photoelectric conversion range of  $\alpha\text{-In}_2\text{Se}_3$  to a much longer wavelength region. Figure 6c shows the testing functional performance of the PC mode photodetector of  $\alpha\text{-In}_2\text{Se}_3$ . The light source is a xenon-arc lamp which originally contains many plasma line features below 2 eV [see Figure 6c]. For comparison purpose, a commercialized silicon photodetector is also tested and compared. The value of the band gap of Si is 1.12 eV, lower than that of 1.453 eV for  $\alpha\text{-In}_2\text{Se}_3$ . However, many sharp line features ( $E_D$ ) below 1.453 eV can still be detected by the PC mode photodetector owing to the assistance of the defect transitions inside the  $\alpha\text{-In}_2\text{Se}_3$ .  $\text{In}_2\text{Se}_3$  is a naturally defect semiconductor comprising many of the Se vacancies ( $V_{\text{Se}}$ ) and In vacancies ( $V_{\text{In}}$ ) in the unit cell. It is similar to  $\text{In}_2\text{S}_3$ .<sup>36</sup> The  $V_{\text{Se}}$  may be the donor level, but  $V_{\text{In}}$  is an acceptor. The inset in Figure 6c depicts the representative band scheme below the band edge of  $\alpha\text{-In}_2\text{Se}_3$ . The defect transitions ( $E_D$ ) assist photoelectric conversion in the infrared region for  $\text{In}_2\text{Se}_3$  as evident in the PC mode spectrum of Figure 6c. The PC mode  $\alpha\text{-In}_2\text{Se}_3$  also reveals larger photoresponsiveness than that of the Si detector with the energy higher than 1.45 eV [see Figure 6c]. All the testing results in Figures 6a, 6b, and 6c demonstrate that  $\alpha\text{-In}_2\text{Se}_3$  microplate is a photosensitive solar-

energy material with surface formation oxide  $\alpha\text{-In}_2\text{Se}_3\text{-}_{3x}\text{O}_{3x}$  ( $0 \leq x \leq 1$ ) that assists the photoelectric conversion in the visible to ultraviolet region, while the bulk  $\text{In}_2\text{Se}_3$  and defect transitions facilitate the optical absorption in the visible to infrared range.

## CONCLUSION

Surface oxide assisted photoelectric conversion in  $\alpha\text{-In}_2\text{Se}_3$  hexagonal microplates has been first evaluated. Owing to the misvalency of III and VI atoms,  $\alpha\text{-In}_2\text{Se}_3$  microplate can form easily a surface oxidation layer  $\alpha\text{-In}_2\text{Se}_3\text{-}_{3x}\text{O}_{3x}$  ( $0 \leq x \leq 1$ ) on its crystalline face while it still contains many Se and In vacancies inside the bulk  $\text{In}_2\text{Se}_3$ . The existence of a surface oxide layer on  $\alpha\text{-In}_2\text{Se}_3$  was probed and verified by XPS, SPV, SPR, and PL measurements. The experimental results of SPV and SPR clearly show that the surface oxidation layer on  $\alpha\text{-In}_2\text{Se}_3$  assists photoelectric conversion in the visible to ultraviolet region. The detection range is extended far beyond the bulk  $\alpha\text{-In}_2\text{Se}_3$  with a direct gap of 1.453 eV. However, from the PC measurements of  $\alpha\text{-In}_2\text{Se}_3$ , the bulk  $\alpha\text{-In}_2\text{Se}_3$  and  $V_{\text{Se}}$  and  $V_{\text{In}}$  vacancies sustain the photoelectric conversion of sunlight in the near-infrared to visible range. A simple junction



solar-cell structure like a SPV configuration proves that a maximum quantum efficiency of a copper-In<sub>2</sub>O<sub>3</sub>-In<sub>2</sub>Se<sub>3-3x</sub>O<sub>3x</sub>-In<sub>2</sub>Se<sub>3</sub> configuration is  $\eta \approx 4.36\%$  close to the peak photoresponse near 2.18 eV. The value is close to the green portion near the maximum responsiveness of sunlight on earth. By integrating the PC and SPR mode operations of  $\alpha$ -In<sub>2</sub>Se<sub>3</sub>, a photodetector with high sensitivity and wide spectral range from infrared to ultraviolet can be implemented. Both chemical and physical characteristics of the  $\alpha$ -In<sub>2</sub>Se<sub>3</sub> hexagonal microplate show that  $\alpha$ -In<sub>2</sub>Se<sub>3</sub> is a native solar energy material with well-behaved function operating under sunlight from near-infrared to ultraviolet.

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### Notes

The authors declare no competing financial interest.

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